



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

NOTICE OF ALLOWANCE AND ISSUE FEE DUE

LM71/0816

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19

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
08/905,501	08/04/97	041	KAZIMI, H	02/16/99
First Named Applicant	SCROGGIE, 35 USC 154(b) term ext. = 0 Days.			

TITLE OF INVENTION: SYSTEM AND METHOD FOR PROVIDING SHOPPING AIDS AND INCENTIVES TO CUSTOMERS THROUGH A COMPUTER NETWORK

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
2 CAT/29US	705-014.000	A26	UTILITY	NO	\$1210.00	11/16/99

**THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED.**

**THE ISSUE FEE MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED.**

**HOW TO RESPOND TO THIS NOTICE:**

- I. Review the SMALL ENTITY status shown above.  
If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:
  - A. If the status is changed, pay twice the amount of the FEE DUE shown above and notify the Patent and Trademark Office of the change in status, or
  - B. If the status is the same, pay the FEE DUE shown above.
- If the SMALL ENTITY is shown as NO:
  - A. Pay FEE DUE shown above, or
  - B. File verified statement of Small Entity Status before, or with, payment of 1/2 the FEE DUE shown above.
- II. Part B-Issue Fee Transmittal should be completed and returned to the Patent and Trademark Office (PTO) with your ISSUE FEE. Even if the ISSUE FEE has already been paid by charge to deposit account, Part B Issue Fee Transmittal should be completed and returned. If you are charging the ISSUE FEE to your deposit account, section "4b" of Part B-Issue Fee Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give application number and batch number.  
Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

**IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.**

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APPLICATION NO.	FILING DATE	SCROGGIE	FIRST NAMED INVENTOR	M	ATTORNEY	DOCKET NO.
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KAZIMI, EXAMINER

2765 UNIT

PAPER NUMBER

08/16/99

19

DATE MAILED:

**Please find below and/or attached an Office communication concerning this application or proceeding.**

**Commissioner of Patents and Trademarks**

# Notice of Allowability

Application No.  
**08/905,501**

Applicant(s)  
**Scroggie et al.**

Examiner  
**Hani Kazimi**

Group Art Unit  
**2765**



All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance and Issue Fee Due or other appropriate communication will be mailed in due course.

☒ This communication is responsive to the amendments filed on Apr 7, and Aug 6, 1999.

☒ The allowed claim(s) is/are 32-42, 58-68, 84-94, 126, 127, and 130-135

☐ The drawings filed on \_\_\_\_\_ are acceptable.

☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).

☐ All ☐ Some\* ☐ None of the CERTIFIED copies of the priority documents have been  
☐ received.

☐ received in Application No. (Series Code/Serial Number) \_\_\_\_\_

☐ received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\*Certified copies not received: \_\_\_\_\_

☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

A SHORTENED STATUTORY PERIOD FOR RESPONSE to comply with the requirements noted below is set to EXPIRE **THREE MONTHS** FROM THE "DATE MAILED" of this Office action. Failure to timely comply will result in ABANDONMENT of this application. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

☐ Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL APPLICATION, PTO-152, which discloses that the oath or declaration is deficient. A SUBSTITUTE OATH OR DECLARATION IS REQUIRED.

☒ Applicant MUST submit NEW FORMAL DRAWINGS

☐ because the originally filed drawings were declared by applicant to be informal.

☒ including changes required by the Notice of Draftsperson's Patent Drawing Review, PTO-948, attached hereto or to Paper No. 5.

☐ including changes required by the proposed drawing correction filed on \_\_\_\_\_, which has been approved by the examiner.

☐ including changes required by the attached Examiner's Amendment/Comment.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the reverse side of the drawings. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official Draftsperson.

☐ Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Any response to this letter should include, in the upper right hand corner, the APPLICATION NUMBER (SERIES CODE/SERIAL NUMBER). If applicant has received a Notice of Allowance and Issue Fee Due, the ISSUE BATCH NUMBER and DATE of the NOTICE OF ALLOWANCE should also be included.

## Attachment(s)

☐ Notice of References Cited, PTO-892

☒ Information Disclosure Statement(s), PTO-1449, Paper No(s). 16

☐ Notice of Draftsperson's Patent Drawing Review, PTO-948

☐ Notice of Informal Patent Application, PTO-152

☒ Interview Summary, PTO-413

☐ Examiner's Amendment/Comment

☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material

☒ Examiner's Statement of Reasons for Allowance

Art Unit: 2765

### **DETAILED ACTION**

1. This communication is a response to the amendments filed on April 7, and August 6, 1999.

#### ***Status of Claims***

2. Of the original claims 1-31, claims 1-31 have been canceled without prejudice, and claims 32-110 have been added by Applicants' amendment filed on February 12, 1999. In the amendment filed on April 7, 1999, Claims 32, 43, 56, 58, 69, 84, and 95 have been amended, and claims 111-129 have been added. In the amendment filed on August 6, 1999, claims 43-57, 69-83, 95-125, 128, and 129 have been canceled, claims 32, 58, 67, 84, 87, 93, 126, and 127 have been amended, and claims 130-135 have been added. Therefore, claims 32-42, 58-68, 84-94, 126, 127, and 130-135 are under prosecution in this application.

#### ***Summary of this Office Action***

3. Applicants' amendments filed on April 7, and August 6, 1999 have been fully considered, and discussed in the next section below, are deemed to be persuasive. Therefore, claims 32-42, 58-68, 84-94, 126, 127, and 130-135 are deemed to be allowable over the prior art of record, and applicants' request for allowance is respectfully granted.

Art Unit: 2765

*Allowable Subject Matter*

4. The following is a statement of reasons for the indication of allowable subject matter:
5. The prior art of record fails to teach a computer implemented method for determining purchasing incentives for consumers, comprising the steps of generating page data defining a personal web page that is accessible over a computer network, wherein the personal web page based at least in part on the personal information data including an identity code transmitted from a personal computer to a main computer, assigning a web page address to the personal web page based upon the personal information data, storing the page data defining the personal web page in a personal page database, and updating the page data so that the personal web page will display the purchase incentive. For these reasons claims 32, 58, 84, 126, and 127 are deemed to be allowable over the prior art of record, and claims 33-42, 59-68, 85-94, and 130-135 are allowable by dependency.

*Conclusion*

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hani Kazimi whose telephone number is (703) 305-1061. The examiner can normally be reached Monday-Friday from 8:30 AM to 5:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Allen MacDonald, can be reached at (703) 305-9708.

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The fax number for Formal or Official faxes to Technology Center 2700 is (703) 308-9051 or 9052. Draft or Informal faxes for this Art Unit can be submitted to (703) 308-5357.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 305-3900.

Hani.Kazimi

August 9, 1999



ALLEN R. MACDONALD  
SUPERVISORY PATENT EXAMINER